

022004

16623 U.S. PTO

FORM PTO-1082

Case Docket No. 4189-PA29

Commissioner of Patents and Trademarks
P.O. BOX 1450
ALEXANDRIA, VA 22313-1450

22390 U.S. PTO
10/784050

022004

Sir:

Transmitted herewith for filing is the utility patent application of:

Inventor: PHILLIP J. EDWARDS; SAMUEL HO; BRADLEY S. LEVIN; ROBERT
WILLIAM MUSK

Entitled: OPTICAL SUBASSEMBLY FOR OPTOELECTRONIC DEVICES

Enclosed are:

☒ 24 sheets of specification and claims

☒ 2 sheet(s) of drawings and 1 copy of same

☐ An Assignment of the invention to:

☒ Declaration and Power of Attorney () Executed (X) Unexecuted

☐ Applicant is Small Entity

☒ Information Disclosure Statement

☒ Request concerning 18-month publication under 35 U.S.C. 122(b)

☐ Also enclosed:

The filing fee has been calculated as shown below:

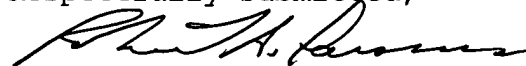
(Col. 1)		(Col.2)	SMALL ENTITY		LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE:	1		X385	= \$385	X770	= \$770
TOTAL CLAIMS:	16	- 20 =	X 9	= \$	or	X 18 = \$
INDEP CLAIMS:	4	- 3 = 1	X 43	= \$	or	X 86 = \$ 86
MULTIPLE DEPEND CLAIM PRESENTED			X145	= \$	or	X290 = \$
CHECK ENCLOSED:			TOTAL=	\$		TOTAL=\$856

☐ Please charge the Deposit Account No. _____ in the amount of \$ _____.

☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. _____.

☐ A duplicate copy of this transmittal sheet is enclosed.

Respectfully submitted,



Robert A. Parsons, Reg. No. 32,713

2/20/04
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: PHILLIP EDWARDS et al.)
Serial No.:)
Filed: HEREWITH)
Title: OPTICAL SUBASSEMBLY FOR OPTO-)
ELECTRONIC DEVICES)

CERTIFICATE OF EXPRESS MAILING

Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450
Mail Stop PATENT APPLICATIONS

"Express Mail" mailing label number: EV 408010614 US
Date of Deposit: 20 February 2004

Dear Sir:

I hereby certify that the attached Application Transmittal Form; Declaration and Power of Attorney, unexecuted; Information Disclosure Statement; Request for Nonpublication; Application: Specification, fifteen (15) page(s); Claims, nine (9) page(s); Abstract, one (1) page(s); two (2) sheet(s) informal drawings and one copy of same; check for appropriate fee; and a postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Mail Stop PATENT APPLICATIONS on 20 February 2004.

Phillip Edwards
Signature

PARSONS & GOLTRY
340 East Palm Lane
Suite 260
Phoenix, Arizona 85004

(602) 252-7494

20 February 2004
Date

Respectfully submitted,



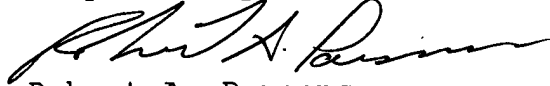
Robert A. Parsons
Attorney for Applicant
Registration No. 32,713

REQUEST CONCERNING EIGHTEEN-MONTH PUBLICATION
UNDER 35 U.S.C. 122(b)

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Your applicants, Phillip Edwards, Samuel Ho, Bradley S. Levin, and Robert William Musk, inventors of OPTICAL SUBASSEMBLY FOR OPTOELECTRONIC DEVICES, application for which is submitted concurrently herewith (RAP Docket No. 4189-PA29), certify that this application has not and will not be the subject of an application filed in another country, and respectfully request that the application not be published at eighteen months.

Respectfully submitted,



Robert A. Parsons

Attorney for Applicant

Registration No. 32,713

2/20/04

DATE

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Suite 260

Phoenix, Arizona 85004

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